

**UNITED STATES BANKRUPTCY COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION**

In re:

PEREGRINE FINANCIAL GROUP, INC.,

Debtor.

Chapter 7

Case No. 12-27488

Hon. Carol A. Doyle

FOREX CUSTOMER CLAIM FORM
PEREGRINE FINANCIAL GROUP, INC.

Account Name:

Daytime Phone:

Account Number:

Email:

(If multiple, please list

All Account Numbers)

Address:

Taxpayer I.D. Number

Contact Person:

(Social Security No.):

PLEASE NOTE

THIS CLAIM FORM SHOULD BE USED IF YOU ARE A FOREIGN EXCHANGE (I.E., "FOREX") CUSTOMER OF PEREGRINE FINANCIAL GROUP, INC. ("PFG") AND YOU HAVE A CLAIM AGAINST PFG BASED ON A FOREX ACCOUNT.

IF YOU DO NOT HAVE A CLAIM AGAINST PFG BASED ON A FOREX ACCOUNT, PLEASE DO NOT USE THIS FORM.

SEPARATE CLAIMS FORMS MUST BE FILED FOR OTHER TYPES OF CLAIMS, INCLUDING FOR CLAIMS RELATED TO A FUTURES ACCOUNT OR A PRECIOUS METALS ACCOUNT WITH PFG.

PLEASE ALSO NOTE THAT IF YOU HOLD (1) A CLAIM AGAINST PFG BASED ON A FOREX ACCOUNT, AND (2) A CLAIM AGAINST PFG BASED ON ANY OTHER RELATIONSHIP WITH PFG (SUCH AS A FUTURES ACCOUNT, A PRECIOUS METALS ACCOUNT OR OTHER CLAIM), YOU SHOULD USE THIS FORM SOLELY TO FILE YOUR CLAIM BASED ON A FOREX ACCOUNT AND USE A SEPARATE CLAIM FORM (OR FORMS) TO FILE A CLAIM (OR CLAIMS) AGAINST PFG BASED ON ANY OTHER APPLICABLE RELATIONSHIPS.

GENERAL INSTRUCTIONS

1. If you cannot compute the amount of your claim, you **must** file an estimated claim. In that case, please be sure to indicate that your claim is an estimated claim.
2. Proper documentation will speed the review, allowance, and satisfaction of your claim.
3. If you have more than one forex account with PFG, please file a single claim covering all such accounts.
4. Please enclose: copies (not originals) of any documentation or correspondence you believe will be of assistance in processing your claim, including, but not limited to, customer confirmations, account statements, and statements of purchase or sale.
5. Please feel free to include attachments providing additional explanations of the calculations or other evidence supporting your claim or claim amounts. **Please note that each attachment must be signed and dated by you.**
6. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the claim that you are asserting with this claim form, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

I. ACCOUNT BALANCE AT PFG

Please state your account balance at PFG for your forex account based the most recent customer statement provided by PFG. If you have multiple forex accounts, please state the aggregate net account balance. Please attach your PFG customer statement (or statements if you have multiple accounts) reflecting that balance.

Forex Account Balance per most recent PFG Customer Statement(s): \$_____

II. ASSERTION OF ADDITIONAL CLAIMS

Do you assert any claims against PFG based on your forex account at PFG that are not reflected in your account balance specified in No. I above?

YES

NO

If yes, please (a) include a signed attachment identifying all bases for any such claims, and (b) attach all evidence in support of any such claims.

III. CAPACITY IN WHICH ACCOUNT HELD

Please specify the capacity in which you hold your forex account at PFG (check all that are applicable):

- a. Individual capacity
- b. As guardian, custodian, or conservator for the benefit of a ward or a minor under the Uniform Gift to Minors Act
- c. As executor or administrator of an estate
- d. As trustee for the trust beneficiary
- e. In the name of a corporation, partnership, or unincorporated association
- f. As an omnibus customer account of the undersigned futures commission merchant
- g. As part owner of a joint account
- h. In the name of a plan which, on July 10, 2012, had in effect a registration statement in accordance with the requirements of § 1031 of the Employee Retirement Income Security Act of 1974 (known as "ERISA") and the regulations thereunder
- i. As agent or nominee for a principle or beneficial owner (and not described in Items (III)(a)-(h))
- j. In any other capacity not described above in Items (III)(a)-(i)) (please specify the capacity):

IV. OTHER MATTERS

A. Solely if you are an individual, do you have either (1) total assets in an amount in excess of \$10,000,000, or (2) total assets in an amount in excess of \$5,000,000 and are someone who entered into the forex agreement or transactions with PFG in order to manage the risk associated with an asset owned or liability incurred, or reasonable likely to be owned or incurred, by you ?

Note: This questions is being asked for regulatory purposes.

B. Is this a joint account?

Check one: YES NO

NOTE: If you selected "YES," specify the amount of your percentage interest in the account, and whether all participants in a joint account are claiming jointly.

(1) My percentage interest in the account is: _____%

(2) Participants in a joint account are claiming: _____ (Check one)
 SEPARATELY FULLY JOINTLY

C. Apart from your forex account(s), do you have any other accounts with PFG?

Check one: YES NO

NOTE: If you selected "YES," specify the account numbers and the type of each such accounts (futures, or precious metals account, etc.)

Account Numbers	Type of Accounts
1. _____	_____
2. _____	_____

(Attach additional page(s) if necessary)

V. DETAILS OF YOUR CLAIM

A. Do you owe any amounts to PFG not already included in your account balances provided in No. I above?

Check one: YES NO

NOTE: If you selected "YES," please provide a detailed description in a signed attachment of any such claim or claims, and attach any supporting documentation you have. If you do not provide sufficient details, you may be sent a deficiency letter seeking additional information.

WHEN COMPLETING THE ABOVE PLEASE KEEP IN MIND:

Please list the full name, address, phone number, and email address of anyone assisting you in the preparation of this claim form:

1. Full name: _____
Address: _____
Phone number: _____
Email address: _____

2. Full name: _____
Address: _____
Phone number: _____
Email address: _____

If more than two people are assisting you, attach additional pages providing the information in the exact format above.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF UP TO \$50,000 OR IMPRISONMENT OF UP TO FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date _____ Signature _____

Date _____ Signature _____

(If ownership of the account is shared (i.e., there is more than one name on the account), all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, agent, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)