

Trustee Cash Summary (as of 5/31/18)

\$ in 000's	PFG / House	Forex / Metals	4d Seg	30.7 Sec	Delivery	Precious Metals	Employee Reimbursements	Unresolved (1) (10)	Total
Cash Receipts:									
Transfers from Financial Institutions to Trustee Accounts	21,859	29,872	160,349	32,068	-	-	-	-	244,148
NFA Reimbursement of Fees / Fines	-	-	-	-	-	-	-	700	700
Recoveries from PFG Subsidiaries (2)	1,353	-	-	-	-	-	-	-	1,353
Sale of Customer Accounts (3)	-	-	-	-	-	-	-	325	325
Specifically Identifiable Property Net Liquidation Proceeds / Transfer Value	-	-	-	-	675	-	-	-	675
US Bank Consent Order Payment	-	-	18,000	-	-	-	-	-	18,000
US Bank Net Settlement Fund	-	-	30,135	-	-	-	-	-	30,135
JPMorgan Chase Settlement Funds	1,724	3,487	10,579	-	-	-	-	-	15,790
Wasendorf Estate Receiver Distribution	-	-	2,920	-	-	-	-	-	2,920
Additional Funds From US Bank Net Settlement Fund	-	-	544	-	-	-	-	-	544
Sentinel Liquidation Trust Settlement	77	-	415	-	-	-	-	-	492
Sale of Assets and Other Deposits	421	-	217	-	-	816	40	15,182	16,676
Total Cash Receipts	25,434	33,359	223,159	32,068	675	816	40	16,207	331,758
Disbursements:									
Payroll Related	(3,630)	-	-	-	-	-	-	-	(3,630)
Rent, Utilities, Equipment, Storage and Bank Fees	(2,018)	-	-	-	-	(3)	-	(4)	(2,025)
Professional Fees	(19,650)	-	-	-	-	-	-	(1,947)	(21,597)
Customer Distribution (Vision Transfer)	-	-	(108,513)	(10,649)	(675)	-	-	-	(119,837)
Customer Distribution (2nd Interim Distribution) (5)	-	-	(25,597)	(12,730)	-	-	-	-	(38,327)
Customer Distribution (3rd Interim Distribution) (5) (6)	-	-	(16,809)	(4,291)	-	-	-	(9,757)	(30,857)
Customer Distribution (4th Interim Distribution) (5)	-	-	(18,392)	-	-	-	-	-	(18,392)
Customer Distribution (5th Interim Distribution) (5)	-	-	(40,082)	-	-	-	-	-	(40,082)
Customer Distribution (6th Interim Distribution) (5) (8)	-	-	(8,347)	(2,559)	-	-	-	-	(10,906)
Customer Distribution (Altamont Global Partners)	-	-	(208)	-	-	-	-	-	(208)
Customer Distribution (Peregrine Asset Management)	-	-	(727)	(102)	-	-	-	-	(829)
Customer Distribution (Precious Metals)	-	-	-	-	-	(436)	-	-	(436)
Customer Distribution (Forex-OTC Metals Settlement) (7)	-	(3,625)	-	-	-	-	-	-	(3,625)
Customer Distribution (Post NFA Freeze Deposits)	-	(1)	(25)	(124)	-	-	-	-	(150)
Total Disbursements	(25,298)	(3,626)	(218,700)	(30,455)	(675)	(439)	-	(11,708)	(290,901)
Ending Bank Account Balances	136	29,733	4,459	1,613	0	377	40	4,499	40,857
Additional Funds held at Financial Institutions									
Morgan Stanley (4)	101	-	-	-	-	-	-	-	101
Union Bank (9)	-	-	539	-	-	-	-	-	539
Total Additional Funds held at Financial Institutions	101	-	539	-	-	-	-	-	640
Total Cash Held	237	29,733	4,998	1,613	0	377	40	4,499	41,497

(1) Trustee is researching correct allocation of funds

(2) Recoveries from non-Debtor subsidiaries

(3) Proceeds received from Vision Financial Group for rights to assume customer interim distribution accounts

(4) Cash balance is subject to asserted set offs, including liquidated claims of approximately \$100k

(5) Interim Distribution amounts includes all checks outstanding as of Summary Date; adjustments have been made for any voided checks

(6) The amount disbursed from the Unresolved column was used to fund additional 4d Seg Customer 3rd Interim Distribution amounts; total 4d Seg 3rd Interim Distribution was approx. \$27M.

(7) Forex-OTC Metals Settlement Distribution amounts includes all checks outstanding as of Summary Date; adjustments have been made for any voided checks

(8) The amount disbursed from the 30.7 Sec column was used to fund additional 4d Seg Customer 6th Interim Distribution amounts; total 4d Seg 6th Interim Distribution was approx. \$11M.

(9) Represents cash at Union Bank on hold to fund all outstanding 6th Distribution checks (of approx. \$540k)

(10) Professional Fee payment in the Unresolved column represents the payment of Investment Banking Fees and Trustee Fee

Source: Trustee Records & Bank Statements