

Trustee Cash Summary (as of 1/31/18)

\$ in 000's	PFG / House	Forex / Metals	4d Seg	30.7 Sec	Delivery	Precious Metals	Unresolved ⁽¹⁾	Total
Cash Receipts:								
Transfers from Financial Institutions to Trustee Accounts	21,859	29,872	160,349	32,068	-	-	-	244,148
NFA Reimbursement of Fees / Fines	-	-	-	-	-	-	700	700
Recoveries from PFG Subsidiaries ⁽²⁾	1,353	-	-	-	-	-	-	1,353
Sale of Customer Accounts ⁽³⁾	-	-	-	-	-	-	325	325
Specifically Identifiable Property Net Liquidation Proceeds / Transfer Value	-	-	-	-	675	-	-	675
US Bank Consent Order Payment	-	-	18,000	-	-	-	-	18,000
US Bank Net Settlement Fund	-	-	30,135	-	-	-	-	30,135
JPMorgan Chase Settlement Funds	1,724	3,487	10,579	-	-	-	-	15,790
Wasendorf Estate Receiver Distribution	-	-	2,845	-	-	-	-	2,845
Additional Funds From US Bank Net Settlement Fund	-	-	544	-	-	-	-	544
Sale of Assets and Other Deposits	421	-	217	-	-	816	15,187	16,641
Total Cash Receipts	25,357	33,359	222,669	32,068	675	816	16,212	331,156
Disbursements								
Payroll Related	(3,618)	-	-	-	-	-	-	(3,618)
Rent, Utilities, Equipment, Storage and Bank Fees	(1,989)	-	-	-	-	(3)	(4)	(1,996)
Professional Fees	(18,955)	-	-	-	-	-	(1,947)	(20,902)
Customer Distribution (Vision Transfer)	-	-	(108,513)	(10,649)	(675)	-	-	(119,837)
Customer Distribution (2nd Interim Distribution) ⁽⁵⁾	-	-	(25,597)	(12,730)	-	-	-	(38,327)
Customer Distribution (3rd Interim Distribution) ^{(5) (6)}	-	-	(16,809)	(4,291)	-	-	(9,757)	(30,857)
Customer Distribution (4th Interim Distribution) ⁽⁵⁾	-	-	(18,392)	-	-	-	-	(18,392)
Customer Distribution (5th Interim Distribution) ⁽⁵⁾	-	-	(40,082)	-	-	-	-	(40,082)
Customer Distribution (6th Interim Distribution) ^{(5) (8)}	-	-	(8,347)	(2,559)	-	-	-	(10,906)
Customer Distribution (Altamont Global Partners)	-	-	(208)	-	-	-	-	(208)
Customer Distribution (Peregrine Asset Management)	-	-	(727)	(102)	-	-	-	(829)
Customer Distribution (Precious Metals)	-	-	-	-	-	(436)	-	(436)
Customer Distribution (Forex-OTC Metals Settlement) ⁽⁷⁾	-	(3,625)	-	-	-	-	-	(3,625)
Customer Distribution (Post NFA Freeze Deposits)	-	(1)	(25)	(124)	-	-	-	(150)
Total Disbursements	(24,562)	(3,626)	(218,700)	(30,455)	(675)	(439)	(11,708)	(290,165)
Ending Bank Account Balances	795	29,733	3,969	1,613	0	377	4,504	40,991
Additional Funds held at Financial Institutions								
Morgan Stanley ⁽⁴⁾	101	-	-	-	-	-	-	101
Total Additional Funds held at Financial Institutions	101	-	-	-	-	-	-	101
Total Cash Held	896	29,733	3,969	1,613	0	377	4,504	41,092

(1) Trustee is researching correct allocation of funds

(2) Recoveries from non-Debtor subsidiaries

(3) Proceeds received from Vision Financial Group for rights to assume customer interim distribution accounts

(4) Cash balance is subject to asserted set offs, including liquidated claims of approximately \$100k

(5) Interim Distribution amounts includes all checks outstanding as of Summary Date; adjustments have been made for any voided checks

(6) The amount disbursed from the Unresolved column was used to fund additional 4d Seg Customer 3rd Interim Distribution amounts; total 4d Seg 3rd Interim Distribution was approx. \$27M.

(7) Forex-OTC Metals Settlement Distribution amounts includes all checks outstanding as of Summary Date; adjustments have been made for any voided checks

(8) The amount disbursed from the 30.7 Sec column was used to fund additional 4d Seg Customer 6th Interim Distribution amounts; total 4d Seg 6th Interim Distribution was approx. \$11M.

Source: Trustee Records & Bank Statements