

Trustee Cash Summary (as of 12/31/18)

| \$ in 000's | PFG / House | Forex / Metals | 4d Seg | 30.7 Sec | Delivery | Precious Metals | Employee Reimbursements | Unresolved (1) (10) | Total |
|--|-----------------|-------------------|------------------|-----------------|--------------|--------------------|----------------------------|------------------------|------------------|
| Cash Receipts: | | | | | | | | | |
| Transfers from Financial Institutions to Trustee Accounts | 21,859 | 29,872 | 160,349 | 32,068 | - | - | - | - | 244,148 |
| NFA Reimbursement of Fees / Fines | - | - | - | - | - | - | - | 700 | 700 |
| Recoveries from PFG Subsidiaries (2) | 1,353 | - | - | - | - | - | - | - | 1,353 |
| Sale of Customer Accounts (3) | - | - | - | - | - | - | - | 325 | 325 |
| Specifically Identifiable Property Net Liquidation Proceeds / Transfer Value | - | - | - | - | 675 | - | - | - | 675 |
| US Bank Consent Order Payment | - | - | 18,000 | - | - | - | - | - | 18,000 |
| US Bank Net Settlement Fund | - | - | 30,135 | - | - | - | - | - | 30,135 |
| JPMorgan Chase Settlement Funds | 1,724 | 3,487 | 10,579 | - | - | - | - | - | 15,790 |
| Wasendorf Estate Receiver Distribution | - | - | 2,920 | - | - | - | - | - | 2,920 |
| Additional Funds From US Bank Net Settlement Fund | - | - | 544 | - | - | - | - | - | 544 |
| Sentinel Liquidation Trust Settlement | 77 | - | 415 | - | - | - | - | - | 492 |
| Sale of Assets and Other Deposits | 421 | 13 | 471 | 1 | - | 816 | 40 | 15,182 | 16,944 |
| Final allocation of Unresolved Funds (1) | 2,458 | - | 2,041 | - | - | - | - | (4,499) | 0 |
| Total Cash Receipts | 27,892 | 33,372 | 225,454 | 32,069 | 675 | 816 | 40 | 11,708 | 332,026 |
| Disbursements: | | | | | | | | | |
| Payroll Related | (3,644) | - | - | - | - | - | - | - | (3,644) |
| Rent, Utilities, Equipment, Storage and Bank Fees | (2,104) | - | - | - | - | (3) | - | (4) | (2,111) |
| Professional Fees | (19,944) | - | - | - | - | - | - | (1,947) | (21,891) |
| Customer Distribution (Vision Transfer) | - | - | (108,513) | (10,649) | (675) | - | - | - | (119,837) |
| Customer Distribution (2nd Interim Distribution) (5) | - | - | (25,597) | (12,730) | - | - | - | - | (38,327) |
| Customer Distribution (3rd Interim Distribution) (5) (6) | - | - | (16,809) | (4,291) | - | - | - | (9,757) | (30,857) |
| Customer Distribution (4th Interim Distribution) (5) | - | - | (18,392) | - | - | - | - | - | (18,392) |
| Customer Distribution (5th Interim Distribution) (5) | - | - | (40,082) | - | - | - | - | - | (40,082) |
| Customer Distribution (6th Interim Distribution) (5) (8) | - | - | (8,347) | (2,559) | - | - | - | - | (10,906) |
| Customer Distribution (Altamont Global Partners) | - | - | (208) | - | - | - | - | - | (208) |
| Customer Distribution (Peregrine Asset Management) | - | - | (727) | (102) | - | - | - | - | (829) |
| Customer Distribution (Precious Metals) | - | - | - | - | - | (436) | - | - | (436) |
| Customer Distribution (Forex-OTC Metals Settlement) (7) | - | (3,625) | - | - | - | - | - | - | (3,625) |
| Customer Distribution (Post NFA Freeze Deposits) | - | (1) | (25) | (124) | - | - | - | - | (150) |
| Total Disbursements | (25,692) | (3,626) | (218,700) | (30,455) | (675) | (439) | - | (11,708) | (291,295) |
| Ending Bank Account Balances | 2,200 | 29,746 | 6,754 | 1,614 | 0 | 377 | 40 | 0 | 40,731 |
| Additional Funds held at Financial Institutions | | | | | | | | | |
| Morgan Stanley (4) | 101 | - | - | - | - | - | - | - | 101 |
| Union Bank (9) | - | - | 435 | - | - | - | - | - | 435 |
| Total Additional Funds held at Financial Institutions | 101 | - | 435 | - | - | - | - | - | 536 |
| Total Cash Held | 2,301 | 29,746 | 7,189 | 1,614 | 0 | 377 | 40 | 0 | 41,267 |

(1) Trustee has researched origin of funds and allocated to the House and Seg accounts as appropriate

(2) Recoveries from non-Debtor subsidiaries

(3) Proceeds received from Vision Financial Group for rights to assume customer interim distribution accounts

(4) Cash balance is subject to asserted set offs, including liquidated claims of approximately \$100k

(5) Interim Distribution amounts includes all checks outstanding as of Summary Date; adjustments have been made for any voided checks

(6) The amount disbursed from the Unresolved column was used to fund additional 4d Seg Customer 3rd Interim Distribution amounts; total 4d Seg 3rd Interim Distribution was approx. \$27M.

(7) Forex-OTC Metals Settlement Distribution amounts includes all checks outstanding as of Summary Date; adjustments have been made for any voided checks

(8) The amount disbursed from the 30.7 Sec column was used to fund additional 4d Seg Customer 6th Interim Distribution amounts; total 4d Seg 6th Interim Distribution was approx. \$11M.

(9) Represents cash at Union Bank on hold to fund certain outstanding 6th Distribution checks

(10) Professional Fee payment in the Unresolved column represents the payment of Investment Banking Fees and Trustee Fee

Source: Trustee Records & Bank Statements